

Thomas M. Thompson

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Professional Summary

FINRA Series 57 licensed trading professional with experience in regulated broker-dealer environments. Background in disciplined trade execution, structured risk controls, and workflow oversight. Detail-oriented operator seeking to apply execution discipline within a fiduciary wealth management platform.

Professional Experience

T3 Trading Group

Discretionary Equity Trader | March 2024 – Present

- Execute U.S. equity trades within defined risk and capital parameters.
- Operate under daily loss limits and broker-dealer compliance oversight.
- Apply knowledge of liquidity, spreads, and order routing to maintain execution quality.
- Maintain detailed trade documentation and structured post-trade reviews.

Liberati Inc. (Cayman Islands)

Founder, Head of Trade Operations | 2022 – 2024

- Structured a gold-backed digital liquidity instrument supported by allocated reserves.
- Developed asset-backing framework and reserve transparency controls.
- Designed issuance, custody coordination, and liquidity management workflows.

Fifty West Cycling

Owner / Operator | 2016 – 2022

- Scaled revenue from \$500K to \$1.2M; managed financial oversight and reporting systems.

Evergreen Builder Solutions

Director of Operations | Director of Commercial Sales

- Led operational execution and implemented structured performance reporting systems.

Skills

Trade Execution | Risk Management | Market Structure | Workflow Documentation | Operational Controls | Regulatory Awareness | Cross-Functional Collaboration

License

FINRA Series 57TO – Active (November 2024)

FINRA Securities Industry Essentials Examination – SIE (July 2024)

Education

University of Cincinnati – Civil Engineering

Cincinnati State Technical & Community College – AAS Civil Engineering Technologies